



22883

PATENT TRADEMARK OFFICE

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box →

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7+

Application Number	09/093,533
Filing Date	06/08/98
First Named Inventor	Michael Malcolm, et al.
Group Art Unit	2787
Examiner Name	Wang, M.

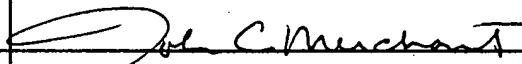
Attorney Docket Number

CASH-001

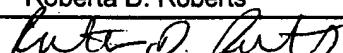
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> PTO Form 1449 cited art, return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Fee transmittal Form SB/17
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Swernofsky Law Group	
Signature		reg. no. 45,996
Date	June 15, 2000	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Signature 

Date June 15, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

22883

PATENT TRADEMARK OFFICE

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 240.00)

Complete If Known

Application Number	09/093,533
Filing Date	06/08/98
First Named Inventor	Malcolm, et al.
Examiner Name	Wang, M.
Group / Art Unit	2787
Attorney Docket No.	CASH-001

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0365

Deposit Account Name Steven A. Swernofsky

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent	- 3** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim, if not paid
109	78	209 39 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	380	216 190 Extension for reply within second month	
117	870	217 435 Extension for reply within third month	
118	1,360	218 680 Extension for reply within fourth month	
128	1,850	228 925 Extension for reply within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,210	241 605 Petition to revive - unintentional	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	240
146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

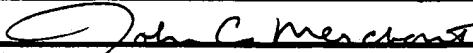
Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

240.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John C. Merchant	Registration No. (Attorney/Agent)	45,996	Telephone	650-947-0700
Signature				Date	6-15-00

WARNING:

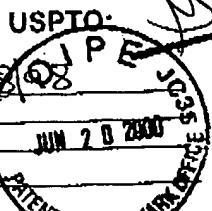
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CTS

PATENT (NON-APPLICATION) POSTCARD

This confirms receipt of the following papers at the USPTO.

Docket No: CASH-001 Serial No: 09/093,533 Filing Date: 06/03/00
Title of Inv: Network Object Cache EngineFirst Named Inventor: michael malcolm, et al.**PAPERS FILED:**

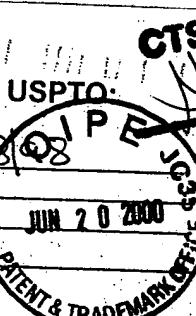
Transmittal Form SB/21
 Fee Transmittal Form SB/17 (2 copies) -- Check no: 51210 \$ 240
 Notice to File Missing Parts of Application (copy)
 Declaration or Oath Power of Atty Combined Decl/Pwr of Atty
 Small Entity Statement
 Assignment(s) Recordation Cov Sht 37 CFR 3.73(b) Statement
 Information Disclosure Statement with Form 1449 References
 Request for Corrected Filing Receipt
 Amendment/OA Response Petition for Extension of Time
 Notice of Appeal Appeal Brief
 Issue Fee Transmittal (2 copies)
 OTHER Return Postcard Formal Drawings sheets

Attorney: JCM

PTO Customer No: 22883

Mailed By: RDRMailing Date: 6/16/00PATENT (NON-APPLICATION) POSTCARD

This confirms receipt of the following papers at the USPTO.

Docket No: CASH-001 Serial No: 09/093,533 Filing Date: 06/03/00
Title of Inv: Network Object Cache Engine

Postage

Group

0013

94039-0013

26
11
13
14
15

First Named Inventor: michael malcolm, et al.

PAPERS FILED:

Transmittal Form SB/21
 Fee Transmittal Form SB/17 (2 copies) -- Check no: 51210 \$ 240
 Notice to File Missing Parts of Application (copy)
 Declaration or Oath Power of Atty Combined Decl/Pwr of Atty
 Small Entity Statement
 Assignment(s) Recordation Cov Sht 37 CFR 3.73(b) Statement
 Information Disclosure Statement with Form 1449 References
 Request for Corrected Filing Receipt
 Amendment/OA Response Petition for Extension of Time
 Notice of Appeal Appeal Brief
 Issue Fee Transmittal (2 copies)
 OTHER Return Postcard Formal Drawings sheets

Attorney: JCM

PTO Customer No: 22883

Mailed By: RDRMailing Date: 6/16/00

BEST AVAILABLE COPY

BEST AVAILABLE COPY

PATENT (NON-APPLICATION) POSTCARD

This confirms receipt of the following papers at the USPTO:

Docket No: CASH-001

Serial No: 09/093,533

Filing Date: 06/08/98

Title of Inv: Network Object Cache Engine

First Named Inventor: Michael malcolm, et.al.

PAPERS FILED:

Transmittal Form SB/21
 Fee Transmittal Form SB/17 (2 copies) -- Check no. 5126 \$ 240⁰⁰
 Notice to File Missing Parts of Application (copy)
 Declaration or Oath Power of Atty Combined Decl/Pwr of Atty
 Small Entity Statement
 Assignment(s) Recordation Cov Sht 37 CFR 3.73(b) Statement
 Information Disclosure Statement with Form 1449 References
 Request for Corrected Filing Receipt
 Amendment/OA Response Petition for Extension of Time
 Notice of Appeal Appeal Brief
 Issue Fee Transmittal (2 copies)
 OTHER Return Postcard Formal Drawings sheets

Attorney: JM

PTO Customer No: 22883

Mailed By: RDR

Mailing Date: 6/16/2000



Mountain View, CA 94039-0013

P.O. Box 390013

Swernofsky Law Group

No Postage Necessary Postage Paid For By

BEST AVAILABLE COPY

5126	
STEVEN A. SWERNOFSKY ATTORNEY AT LAW 4970 WEST EL CAMINO REAL, SUITE 210 LOS ALTOS, CA 94022	90-4153/1211
DATE <u>10/14/00</u>	\$ <u>240.00</u>
COMMISSIONER OF PATENTS & TRADEMARKS	
PAY TO THE ORDER OF	
<u>MATO - CHUNG</u> <u>44</u> <u>Forty</u> <u>\$ 00</u> <u>100</u>	
MFD-PENNSUTA BANK	
420 Cowper Street, Palo Alto, California 94301	
650-323-5150	
FOR OASH-001 IDS Fee	
<u>001093533</u> <u>001261101211463410858910110</u>	